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Riordan & McKinzie

A Professional Law Corporation

Richard J. Riordan, Retired

300 South Grand Avenue

Twenty-Ninth Floor

Los Angeles, California 90071-3109

Telephone: (213) 629-4824

Faximile: (213) 229-8550

FACSIMILE TRANSMISSION

DATE: February 12, 2002

To:	NAME	FAX	PHONE
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FROM:	Scott R. Miller, Esq.	DIRECT DIAL:	(213) 229-8536
RE:	Re: Oskar J. Painter, et al Application No.: 10/037146 For: "RESONANT OPTICAL MODULATORS" Docket No. CQC13NP (6/174)		

FILE NO.: 1317-001 **USER NUMBER:** 388 **PAGES, INCLUDING COVER:** 3

MESSAGE: Dear Sir or Madam:

Attached please find the Filing Receipt for the above-identified patent application. Please note that the Domestic Priority data is incorrect, as indicated by the notations on the Filing Receipt. Please update your records to reflect the correct Domestic Priority data and reissue a corrected Filing Receipt.

If you have any questions, please feel free to contact me at the above number.

Thank you for your assistance.

Regards,

Scott R. Miller

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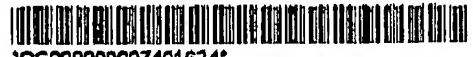
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/037,146	12/21/2001	2874	937	CQC13NP	22	83	2

CONFIRMATION NO. 5613

26719
 RIORDAN & MCKINZIE
 300 SOUTH GRAND AVENUE
 29TH FLOOR
 LOS ANGELES, CA 90071

FILING RECEIPT



'0C00000007401624'

Date Mailed: 02/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Oskar J. Painter, Pasadena, CA;
 Peter C. Sercel, Pasadena, CA;
 Kerry J. Vahala, San Gabriel, CA;
 David W. Vernooy, Sierra Madre, CA;
 Guido Hunziker, Altadena, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/257,248 12/21/2000
 AND CLAIMS BENEFIT OF 60/257,218 12/21/2000
~~AND CLAIMS BENEFIT OF 60/111,484 12/07/1998~~
~~AND CLAIMS BENEFIT OF 60/100,358 11/13/1998~~
~~AND CLAIMS BENEFIT OF 60/183,499 02/17/2000~~
~~AND CLAIMS BENEFIT OF 60/228,147 08/18/2000~~
~~AND CLAIMS BENEFIT OF 60/170,074 12/09/1999~~
~~AND CLAIMS BENEFIT OF 60/257,218 12/21/2000~~
~~AND CLAIMS BENEFIT OF 60/257,248 12/21/2000~~
~~AND CLAIMS BENEFIT OF 60/301,519 08/27/2001~~
~~AND CLAIMS BENEFIT OF 60/333,238 11/23/2001~~

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Foreign Applications

If Required, Foreign Filing License Granted 01/31/2002

Projected Publication Date: 05/09/2002

Non-Publication Request: No

Early Publication Request: No

" SMALL ENTITY "

Title

Resonant optical modulators

Preliminary Class

385

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 5613

SERIAL NUMBER 10/037,146	FILING DATE 12/21/2001 RULE	CLASS 385	GROUP ART UNIT 2874	ATTORNEY DOCKET NO. CQC13NP
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APPLICANTS

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**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/257,248 12/21/2000
 AND CLAIMS BENEFIT OF 60/257,218 12/21/2000

**** FOREIGN APPLICATIONS *******

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 ** 01/31/2002

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 22	TOTAL CLAIMS 83	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

26719

TITLE

Resonant optical modulators

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